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EX-197

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: CANNING, John

Serial No.: 10/019,746

Examiner

Filed: January 15, 2002

Art Unit

For: METHOD OF WRITING GRATING STRUCTURES

Docket #

P074776US00/MP

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231
S I R :

This IDS is submitted herewith pursuant to 37 CFR. §1.97-1.98 and includes the following:

- ☒ A listing of the references on PTO-1449.
- ☒ A copy of references which have come to applicant's attention.
- ☒ A copy of a corresponding foreign Search Report explaining the relevance of those references.
- ☐ A separate explanation of relevance.

Please note the following particulars concerning the filing of this IDS:

- ☒ This IDS is filed **within three months** of the filing date of a national application other than a CPA, or within three months of the date of entry into the national stage as set forth in 37 CFR. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination, whichever event occurs last.

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☐ I hereby state that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

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☐ An appropriate statement is attached.

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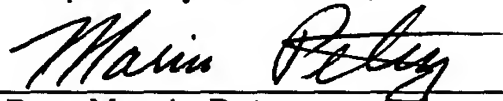
..... I hereby state that no item of information in this IDS herewith was cited in a communication
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X If no check is enclosed and a fee is due in connection with this communication or if the check
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Respectfully submitted,



Date: April 22, 2002

By: Marvin Petry

Registration No.: 22752

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Customized PTO/SB/08A

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**Sheet 1 of 1

Application No.: 10/019,746
Filing Date: January 15, 2002
First Named Inventor: CANNING, John
Group Art Unit:
Examiner Name:
Attorney Docket No.: P07477US00/MP

U.S. PATENT DOCUMENTS

Exam. Initial	Document No. Number - Kind	Publication Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages or Figures
	5,768,454	06-16-1998	Chesnoy et al.	
	5,367,588	11-22-1994	Hill et al.	
	5,335,548	08-09-1994	Kalibjian	

FOREIGN PATENT DOCUMENTS

Exam. Initial	DOCUMENT Country-Number-Kind	Publ. Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages or Figures	Trans
	WO 97/30365	08-21-1997	Corning Inc.		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exam. Initial	Author Name (in CAPS), Title of Article/Item, Date, Page(s), Volume-Issue No., Publisher, City and/or Country where published	Trans
	Optics Communications, Vol. 171, December 1999 (Elsevier), J. Canning, M.G. Sceats, and S. Fleming, "Granting structures with phase mask period in silicon-on-silicon planar waveguides", pp. 213-217	

Examiner Signature

Date Considered

* Examiner: Initial if considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.